#### **PATENT**

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: BRAJ BHUSHAN LOHRAY, et al

For: NOVEL TRICYCLIC COMPOUNDS AND THEIR USE IN MEDICINE; PROCESS

FOR THEIR PREPARATION AND PHARMACEUTICAL COMPOSITIONS

CONTAINING THEM

Attorney Docket No.: U 013701-9

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

### PRELIMINARY AMENDMENT

Please amend the above-identified application as follows.

## IN THE CLAIMS

It is requested that all pending claims be cancelled and replaced by the following claim:

#### **CERTIFICATE UNDER 37 CFR 1.10**

I hereby certify that this paper is being deposited with the United States Postal Service on this date <u>NOVEMBER 19, 2001</u> in an envelope as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" Mailing Label Number <u>EV 0110119192 US</u> addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231

(Type or print name of person mailing paper)

(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "EXPRESS MAIL" mailing label place thereon prior to mailing 37 CFR 1.16(b).